

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FR3

In re the Application of:

Javier MARTI SENDRA et al.

Attorney Docket Number: 027318-00007

Serial Number: NEW PATENT APPLICATION

Filed: February 11, 2005

For: COUPLING SYSTEM BETWEEN DIELECTRIC OPTICAL GUIDES AND  
PLANAR PHOTONIC CRYSTAL GUIDES

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: February 11, 2005

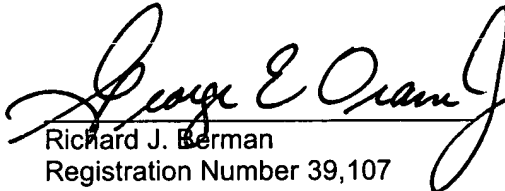
Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information items listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the items be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. Check number \_\_\_\_\_ in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account Number 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicants hereby petition that the Information Disclosure Statement be considered. Attached is our check number \_\_\_\_\_ in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account Number 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The references were cited in counterpart foreign application PCT/ES2003/000421, filed August 13, 2003. An English language version of the International Search Report is attached for the Examiner's information.

Respectfully submitted,

  
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RJB:vmh

Enclosures: Form PTO-1449  
International Search Report  
References (4)

<p>FORM PTO-1449</p> <p style="text-align: center;">U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p style="text-align: center;"><b>LIST OF REFERENCES CITED BY APPLICANT</b></p> <p style="text-align: center;"><i>(Use several sheets if necessary)</i></p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"> <p>ATTORNEY DOCKET NUMBER 027318-00007</p> </td> <td style="width: 40%; text-align: center; vertical-align: middle;"> <p style="font-size: 2em; margin: 0;">10/24/03</p> <p style="font-size: 0.8em; margin: 0;">NEW PATENT APPLICATION</p> </td> </tr> <tr> <td colspan="2"> <p>APPLICANT Javier MARTI SENDRA et al.</p> </td> </tr> <tr> <td> <p>FILING DATE February 11, 2005</p> </td> <td> <p>GROUP ART UNIT</p> </td> </tr> </table>	<p>ATTORNEY DOCKET NUMBER 027318-00007</p>	<p style="font-size: 2em; margin: 0;">10/24/03</p> <p style="font-size: 0.8em; margin: 0;">NEW PATENT APPLICATION</p>	<p>APPLICANT Javier MARTI SENDRA et al.</p>		<p>FILING DATE February 11, 2005</p>	<p>GROUP ART UNIT</p>
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<p>FILING DATE February 11, 2005</p>	<p>GROUP ART UNIT</p>						

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA	US 2002/0191933 A1	December 19, 2002	Masatoshi TOKUSHIMA			
	AB						
	AC						
	AD						
	AE						
	AF						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION		
							YES	NO	PART.
	AG	WO 02/088799 A1	November 7, 2002	International					X
	AH	WO 03/023471 A1	March 20, 2003	International					
	AI	EP 1 343 030 A1	September 10, 2003	Spain					X
	AJ								
	AK								
	AL								

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AM	
	AN	
	AO	

<p><b>EXAMINER</b></p>	<p><b>DATE CONSIDERED</b></p>
<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	